## Article

## On Yes-no Questions in Old Japanese\*

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# 1 Introduction: an important question about yes-no questions in Old Japanese

Yes-no questions have not attracted so much attention as Wh-questions in Old Japanese, the Japanese language spoken between 7th century and 11th century. Nevertheless, we can make an interesting and important observation with yes-no questions in Old Japanese.

In yes-no questions in Old Japanese, ka, generally assumed to be a question marker, appears in two different positions. First, ka in yes-no questions in Old Japanese can be in clause-final position.

(1) a. ... pototogisu ima-mo naka-nu **ka**little cuckoo now-still sing-not Q

"... little cuckoo, do you still not sing for me?"

(Man'yo shu: 4067)

b. ... wotomera-ga tamamo-no suso-ni sipo ladies-GEN beautiful hem-to sea

mitu-ramu

ka

fill-auxiliary for speculation Q

'... might the sea be reaching the hems of the beautiful kimonos of the ladies?'

(Man'yo shu: 40)

<sup>\*</sup> This is a substantially revised version of my paper presented at Diachronic Generative Syntax 20 at York University in June in 2018. I am grateful to the audience at the conference especially Edith Aldridge for beneficial comments. This paper is also dedicated to Nanako Shinagawa in the office of SIPEC, who has helped the faculty members in various ways and is now retiring.

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In (1a, b), ka is in clause-final position, and constitutes legitimate yes-no questions.

*Ka* in yes-no questions in Old Japanese appears in clause-medial position as well.

- (2) a. Tawakwoto**-ka** pito-no ipi-turu . . .
  nonsense-Q people-NOM say-completive auxiliary
  'Did people say nonsense? . . . ' (Man'yo shu: 3333)
  - b. ... miyako idu to-ka pito-no tuge-turu
     Kyoto leave that-Q people-NOM tell-completive auxiliary
     '... Did people say that (s/he) is leaving Kyoto?'

(Go shui waka shu: 14)

In the yes-no questions in (2a, b), ka is in clause-medial position. In (2a), ka is attached to the object tawakwoto (nonsense), and in (2b) it is attached to the complement clause miyako idu to (that s/he is leaving Kyoto). Furthermore, those phrases with ka precede the subject, pito-no (people-NOM).<sup>1)</sup> We can take this to show that in yes-no questions, a phrase with ka in clause-medial position has been moved.<sup>2)</sup>

In Modern Japanese, yes-no questions are formed only by putting *ka* in clause-final position.

- (3) a. Hanako-wa kimasita ka Hanako-NOM came Q 'Did Hanako come?' b.\*Hanako-ka kimasita
  - o. \*Hanako-ka kimasita Hanako-O came

See Nomura (1993) for the statistical data about Man'yo shu supporting this observation.

<sup>2)</sup> In questions with ka in clause-medial position, its predicate takes its adnominal/substantive form. This concord is called Kakarimusubi (particle-predicate concord). For possible answers for the question why a predicate is forced to take its adnominal/substantive form with ka in clause-medial position, see Ohno (1993), Ikawa (1998), and Watanabe (2002) among others.

In the legitimate yes-no question in Modern Japanese in (3a), ka is in clause-final position. (3b) with ka attached to the subject Hanako cannot be a legitimate yes-no question.<sup>3)</sup>

Now, a question arises how it is possible in Old Japanese to form yesno questions in two different ways: (i) putting ka in clause-final position and (ii) putting ka in clause-medial position. In this paper, I will show that yes-no questions in Old Japanese are actually formed in a single unified way, attaching ka to any constituent.

## 2 Identifying the questions to be answered

## 2.1 On taking ka in clause-medial position as a focus particle: ka in clause-final position and clause-medial position as a single element

Watanabe (2002), focusing on the questions with ka in clause-medial position, takes ka as a focus particle, and assumes that a phrase with ka moves to the SPEC of the focus phrase (FocP).<sup>4)</sup> Although it is not difficult to see that the phrases with ka tawakwoto (nonsense) in (2a) and miyako idu to (s/he is leaving Kyoto) in (2b), given below again, get a focus interpretation, simply taking ka in clause-medial position as a focus particle leaves two important questions unanswered.

- (2) a. Tawakwoto-**ka** pito-no ipi-turu . . .
  nonsense-Q people-NOM say-completive auxiliary
  'Did people say nonsense? . . . ' (Man'yo shu: 3333)
  - b. ... miyako idu to-ka pito-no tuge-turu
    Kyoto leave that-Q people-NOM tell-completive auxiliary
    '... Did people say that (s/he) is leaving Kyoto?'

(Go shui waka shu: 14)

<sup>3)</sup> What underlies this difference between yes-no questions in Old Japanese and yesno questions in Modern Japanese will be briefly discussed in 4.

<sup>4)</sup> Kuroda (2007) and Aldridge (2015) also take ka as a focus particle. Kuroda (2007) assumes that ka is a focus particle in T, and Aldridge (2015), discussing only Whquestions in Old Japanese, considers ka to head FocP inside TP. Their approaches, taking ka as a focus particle, are to be faced with the problems presented in 2.1.

First, the most important observation with the examples like (2a, b) is that they are questions. It seems clear that the presence of ka plays a crucial role in making the examples like (2a, b) questions. Simply taking ka as a focus particle, we could not offer any principled explanation to the fact that the examples in (2a, b) are questions.

Second, ka in yes-no questions can be in clause-final position as well.

(1) a. ... pototogisu ima-mo naka-nu **ka**little cuckoo now-still sing-not Q
'... little cuckoo, do you still not sing for me?'

(Man'yo shu: 4067)

b. ... wotomera-ga tamamo-no suso-ni sipo
ladies-GEN beautiful hem-to sea
mitu-ramu ka
fill-auxiliary for speculation Q

'... might the sea be reaching the hems of the beautiful kimonos of the ladies?'

(Man'yo shu: 40)

In (1a, b) repeated above, ka, which does seem to be the same element as ka in (2a, b), is in clause-final position. In (1a, b), there does not seem to be any focused element, and the examples are simple yes-no questions. Then, we have to say that ka in (1a, b) is not a focus particle, and that ka in (1a, b) and ka in (2a, b) are distinct elements despite the fact that those examples are the same yes-no questions with ka.

Furthermore, there are examples of yes-no questions with ka in clause-medial position which do not seem to contain any focused element. Actually, Konoshima (1966), citing the examples below, argues against assuming ka just to focalize the element it is attached to.

(4) a. Kaze puka-ba nami-**ka** tatamu to samorapi-ni tuda-no wind blow-if wave-Q rise that watching Tsuda-GEN posope-ni uragakuri wori

Hosoe-in taking shelter

'Wondering if waves rise when wind blows, ships are taking

shelter in the bay in Hosoe in Tsuda' (Man'yo shu: 765)

b. Pitopeyama penareru mono-wo tukuyo yomi
a mountain separate thing-ACC moonlit night appreciate
kado-ni ide-tati imo-ka matu-ramu
gate-at go out-stand lover-Q wait-auxiliary for speculation
(Man'yo shu: 765)

'Though we are separated by a mountain, is my lover waiting for me appreciating the moonlit night at the gate of her house?

c. ... wago opokimi-no opomipune mati-ka
my emperor-GEN large ship wait-Q
kopur-amu...
long for-auxiliary for speculation
'... are you waiting for the great ship of my emperor longing

'... are you waiting for the great ship of my emperor longing for him?...' (Man'yo shu: 152)

In (4a), ka is attached to nami (wave), but here, it is difficult to take nami as a focus. When wind blows, it is easily expected that waves rise, and it is clear that ships are taking shelter in the bay to avoid rising waves. In this situation, it is unlikely that the ship owners are not quite sure what happens when wind blows and asking if it is rising waves that appear when wind blows. Here, the ship owners know that waves rise when wind blows, and are just asking if waves rise high when wind blows this time. Similarly, in (4b) ka is attached to imo (lover). Imo is a noun for female lover and this poem was made by a man. In this poem, the author is wondering if his lover is waiting for him at the gate of her house looking at the moon. In this situation, it is very difficult to imagine that his lover may be waiting for someone other than the author. Then, it is almost impossible to take *imo* as a focus because the author is sure that his lover may be waiting for him if she is waiting for someone. Here, the author is just asking if his lover is waiting for him now. Finally, in (4c), ka is attached to the first part of the complex predicate mati-kopur (long for (someone) waiting for (her/him)), mati (wait). Here again, it is difficult to say that just mati is focalized. Rather, we should

say that ka in (4c) should be equivalent to ka in clause-final position, following the entire predicate mati-kopur-amu and just making the entire sentence a question. Based on these examples, following Konoshima (1966), we should conclude that ka in clause-medial position just has the function of making the clause a question as ka in clause-final position.

Now, it has become clear that simply taking ka in clause-medial position as a focus particle, we should be forced to distinguish it from ka in clause-final position, which does not seem to induce the focus interpretation of any element in the sentence. Consequently, we would fail to give a principled and unified answer to the important question with yes-no questions in Old Japanese why yes-no questions in Old Japanese can be formed by putting ka in two different positions. Furthermore, ka in clause-medial position does not always induce the focus interpretation of a phrase it is attached to. What ka always does, whether it is in clause-medial position or in clause-final position, is to make the sentence a question. In what follows, I would like to explore a possibility of giving a principled answer for the question about the formation of yes-no questions in Old Japanese mentioned above.

## 2.2 Identifying the questions

Now, having seen that ka in clause-final position and ka in clause-medial position should be taken as a single element, we are ready to consider how yes-no questions in Old Japanese are formed. To do so, let us identify again the questions we need to answer for the formation of yes-no questions in Old Japanese. Let us see the relevant examples again.

- (1) a. ... pototogisu ima-mo naka-nu ka
  little cuckoo now-still sing-not Q
  '... little cuckoo, do you still not sing for me?'
  (Man'yo shu: 4067)
- (2) a. Tawakwoto-**ka** pito-no ipi-turu . . .
  nonsense-Q people-NOM say-completive auxiliary
  'Did people say nonsense?' (Man'yo shu: 3333)

In the yes-no question in (1a), ka is in clause-final position whereas in the yes-no question in (2a), ka is in clause-medial position. The most important question we have set about the formation of yes-no questions in Old Japanese is in (5).

(5) Why can yes-no questions be formed in seemingly two different ways in Old Japanese: (i) putting ka in clause-final position and (ii) putting ka in clause-medial position?

In looking for an answer to (5), we also need to provide principled answers to the more specific questions below.

- ( 6 ) a. Why does *ka* in clause-medial position induce the movement of the phrase it is attached to?
  - b. Why does *ka* in clause-final position not induce any movement?

In (2a), tawakwoto-ka (nonsense-Q) precedes the subject pito-no (people-NOM). We can take this to show that in yes-no questions with ka in clause-medial position, the phrase with ka is moved. (1a) with ka in clause-final position does not exhibit any word order change, and this shows that it does not force any movement to take place. In what follows, I will try to answer the questions in (5) and (6).

## 3 Finding possible answers

## 3.1 Constituents marked by ka in yes-no questions

In yes-no questions, basically any constituent can be marked by ka. (4a) and (2a) repeated below demonstrate that a subject and an object can be marked by ka.

(4) a. Kaze puka-ba nami-**ka** tatamu to samorapi-ni wind blow-if wave-Q rise that watching tuda-no posope-ni uragakuri wori

Tsuda-GEN Hosoe-in taking shelter

'Wondering if waves rise when wind blows, ships are taking shelter in the bay in Hosoe in Tsuda' (Man'yo shu: 765)

(2) a. Tawakwoto-**ka** pito-no ipi-turu . . .
nonsense-Q people-NOM say-completive auxiliary
'Did people say nonsense?' (Man'yo shu: 3333)

In (4a), the subject nami is marked by ka, and in (2b), the object tawak-woto is marked by ka. (7a, b) show that adjuncts can also be marked by ka.

(7) a. ... koyopi-ka kimi-ga wa-ga ri tonight-Q you-NOM I-GEN place ki-masa-mu come-honorific-auxiliary for speculation

"... Will you come to my place tonight?" (Man'yo shu: 1519)
b. ... pitori-ka kimi-ga yamamiti alone-Q you-NOM mountain pass koyur-amu walk-through-auxiliary for speculation

"... Would you be walking through the mountain pass alone?"

In (7a, b), *ka* is attached to the adjuncts *koyopi* (tonight) and *pitori* (alone). Furthermore, as we have seen, in yes-no questions, *ka* can be attached to a clause, making it a question.

(Man'yo shu: 3193)

(1) a. ... pototogitsu ima-mo naka-nu ka
little cuckoo now-still sing-not Q
'... little cuckoo, do you still not sing for me?'
(Man'vo shu: 4067)

b. ... wotomera-ga tamamo-no suso-ni sipo
ladies-GEN beautiful hem-to sea
mitu-ramu **ka**fill-auxiliary for speculation Q

"... might the sea be reaching the hems of the beautiful kimonos of the ladies?"

(Man'vo shu: 40)

In (1a, b), given above again, ka seems to be attached to the clauses pototogitsu ima-mo naka-mu (little cuckoo still does not sing) and wotomera-ga tamamo-no suso-ni sipo mitu-ramu (the sea might be reaching the hems of the beautiful kimonos of the ladies), making them questions. Now, we can unify yes-no questions with ka in clause-final position and yes-no questions with ka in clause-medial position, and say that ka can be attached to any constituent of a clause, including the clause itself.

### 3.2 What ka in clause-final position in ves-no questions suggests

It is clear that ka plays a crucial role in forming questions as a question marker, and the question is how ka forms a question. To find an answer for this question, ka in clause-final position in yes-no questions is of help.

(1) a. ... pototogitsu ima-mo naka-nu **ka**little cuckoo now-still sing-not Q
'... little cuckoo, do you still not sing for me?'

(Man'yo shu: 4067)

In the yes-no question in (1a) repeated above, ka is in clause-final position, and it is a legitimate yes-no question without any visible word order change. The subject pototogisu comes first, the adjunct ima-mo (still) follows it, and the verbal element naka-nu (not sing) comes last. Thus, a legitimate question can be formed just with ka in clause-final position. Why does ka in clause-final position make a legitimate yes-no question in its base-position? I would like to say that it is because ka is attached to the clause itself. Being attached to the clause pototogisu imamo naka-nu, ka can be assumed to have the entire clause in its scope. In the traditional terms, we can say that ka c-commands the clause, and that it makes the clause a question. Based on this, we can present the generalization below about making questions in Old Japanese.

(8) To make a clause a question, ka must have the clause in its scope.

#### 3.3 On the movement of a constituent with ka

### 3.3.1 Its driving force

Given (8) we have reached in 3.2, it naturally follows that a constituent with ka in clause-medial position moves. In the examples repeated below, the constituents with ka generally precede the subjects.

- (2) a. Tawakwoto**-ka** pito-no ipi-turu...
  nonsense-Q people-NOM say-completive auxiliary
  'Did people say nonsense?' (Man'yo shu: 3333)
  - b. ... miyako idu to-ka pito-no tuge-turu
     Kyoto leave that-Q people-NOM tell-completive auxiliary
     '... Did people say that (s/he) is leaving Kyoto?'

(Go shui waka shu: 14)

(7) a. ... koyopi-**ka** kimi-ga wagari tonight-Q you-NOM my place

ki-masa-mu

come-honorific-auxiliary for speculation

"... Will you come to my place tonight?" (Man'yo shu: 1519)

 b. ... pitori-ka kimi-ga yamamiti alone-Q you-NOM mountain pass koyur-amu

walk-through-auxiliary for speculation

"... Would you be walking through the mountain pass alone?"
(Man'yo shu: 3193)

In (2a, b), the complements *tawakwoto* and *miyako idu-to* precede the subject *pito-no*. In (7a, b), the adjuncts *koyopi* and *pitori* precede the subject *kimi-ga* (you-NOM). If the generalization in (8) is correct, to make a clause a question, *ka* has to be in the position taking the clause in its scope. *Ka* attached to the complements in (2a, b), which are originally inside VP, cannot take the entire clause in its scope in their original positions, and for *ka* to take the entire clause in its scope, the comple-

ments move to pre-subject position. The same can be said to the adjuncts in (7a, b). Ka attached to the adjuncts preceding the subject kimi-ga can take the entire clause in its scope.<sup>5)</sup> Thus, the movement of a constituent with ka in clause-medial position is consistent with the generalization in (8); it takes place for ka to take the clause in its scope.

#### 3.3.2 Its clause-boundness

If the movement of a constituent with ka takes place so that ka takes the entire clause in its scope, it should target the domain of a functional head heading a clausal projection, and there are two possibilities, T and C. In considering this issue, how a constituent with ka moves seems to be the key.

The movement of a constituent with ka seems to be clause-bound. In yes-no questions, it is very difficult or almost impossible to find an example in which a constituent of a complement clause marked by ka moves out of the complement clause. Ikawa (1998) points out the fact that a complement clause headed by to (that) is marked by ka, and that the complement clause itself moves.

(2) b. ... miyako idu to-**ka** pito-no tuge-turu

Kyoto leave that-Q people-NOM tell-completive auxiliary

'... Did people say that (s/he) is leaving Kyoto?'

(Go shui waka shu: 14)

In (2b), repeated above, the complement clause *miyako idu to* (that s/he is leaving Kyoto) is marked by *ka* and precedes the subject *pito-no*. We can consider that the complement clause with *ka* has moved so that *ka* takes the entire sentence in its scope. Given that there has not been found any evidence that shows that the movement of a constituent with *ka* can be long distance, and that the complement clause itself can be

<sup>5)</sup> As it is not clear that adjuncts have their base-position, it might be possible to consider that the adjuncts with *ka* in (7a, b) are directly merged into a position in which *ka* can take the entire clause in its scope.

marked by *ka* and moves, we should conclude that the movement of a constituent with *ka* is clause-bound.

Given the clause-boundness of the movement of a constituent with ka in yes-no questions in Old Japanese, we could consider that it cannot be a movement to the domain of C, and that the movement targets the domain of T. The movement targeting the domain of T in a finite clause is generally clause-bound.

(9) a. [T John [T] [v John v [v loves Mary]]]. b.\*[T John T] seems that T it T is likely to v John v v hit Bill]]]].

In (9a), the subject *John* moves from the SPEC of vP to the SPEC of TP in the same finite clause. In (9b), *John* the subject of *hit* moves to the SPEC of the matrix TP skipping the SPEC of the complement TP, and this is not allowed. Movement into the domain of C, in contrast, can be long distance. Topicalization, which can be assumed to target the domain of C, can move skipping CPs.

(10) [c John, [c] I think [c [c that] Mary likes-John]].

In (10), John moves to the domain of the matrix C skipping the complement CP, and this is grammatical. Given the above difference between movement to the domain of T and movement to the domain of C above, the movement of the constituent with ka in yes-no questions in Old Japanese, which is clause-bound, is likely to target the domain of T.

## 3.4 Ka in clause-final position attached to the projection of T

If the movement of a constituent with ka targets the domain of T to make the entire clause a question as we have seen in 3.3.2, it is natural to consider that a yes-no question in Old Japanese should be the projection of T. Then, ka in clause-final position exemplified in the examples given below again should be assumed to be attached to the projection of T.

- (1) a. ... pototogisu ima-mo naka-nu **ka**little cuckoo now-still sing-not Q
  '... little cuckoo, do you still not sing for me?'

  (Man'yo shu: 4067)
  - (Man'yo shu: 4067)

    b. ... wotomera-ga tamamo-no suso-ni sipo
    ladies-GEN beautiful hem-to sea
    mitu-ramu ka
    fill-auxiliary for speculation Q
    '... might the sea be reaching the hems of the beautiful kimonos of the ladies?' (Man'yo shu: 40)

We can give the structures below to (1a, b).

( 1 ) a'. [T <Q> [T pototogisu ima-mo naka-nu]- $\mathbf{ka}$ <Q>] b'. [T <Q> [T wotomera-ga tamamo-no suso-ni sipo mitu-ramu]- $\mathbf{ka}$ <Q>]

As ka, which I assume to be the realization of <Q>-feature, takes the entire clause in its scope, c-commanding it, as in (1a', b'), they are successfully interpreted as questions.

We have seen in 2.1 that there are examples in which ka is attached to the first member of a complex predicate.

- (4) c. ... wago opokimi-no opomipune mati-ka
  my emperor-GEN large ship wait-Q
  kopur-amu...
  long for-auxiliary for speculation
  '... are you waiting for the great ship of my emperor longing
  for him?...'
  (Man'yo shu: 152)
- In (4c) repeated above, ka is attached to the first member of the complex predicate mati-kopur (wait-long for), mati. Despite its position, as Konoshima (1966) says, ka in (4c) does not seem to focus mati, but just makes the entire clause a question like ka in clause-final position in (1a,

b). It is generally assumed that in Old Japanese complex predicates lack the morphological integrity of their members, and that the complex predicates in Old Japanese can be regarded as just the coordinated two independent predicates (Kindaichi 1953). Then, we can see *mati-kopur(-amu)* in (4c) as the coordinated two predicates, and it would be possible to separate those two predicates *mati* and *kopur(-amu)* and place *ka* attached to the clause *wago opokimi-no opomipune mati-kopur-amu* (you might be waiting for the great ship of my emperor longing for him) after *mati.*<sup>6)</sup>

In this way, ka in clause-final position, attached to the projection of T, takes the entire clause in its scope in the position in which it is generated, and for this reason, it can make the clause a question without involving any movement.

## 3.5 Ka in clause-medial position

We know that ka can be generated as a part of a constituent of a clause, and that in this case, the constituent with ka has to move.

- (2) a. Tawakwoto-**ka** pito-no ipi-turu . . .
  nonsense-Q people-NOM say-completive auxiliary
  'Did people say nonsense?' (Man'yo shu: 3333)
  - b. ... miyako idu to-ka pito-no tuge-turu
    Kyoto leave that-Q people-NOM tell-completive auxiliary
    '... Did people say that (s/he) is leaving Kyoto?'

(Go shui waka shu: 14)

In (2a, b), the constituents with ka are the complements of the verbs, and they are in VP, as in the structures given below.

(2) a'. [T pito-no [v tawakwoto-**ka** ipi-turu]] b'. [T pito-no [v miyako idu to-**ka** tuge-turu]]

<sup>6)</sup> This process might take place in PF.

In (2a', b'), ka, inside VP, cannot take the entire clause in its scope. Then, tawakwoto-ka in (2a') and miyako idu to-ka in (2b') move to the domain of T leaving their copies, as in (2a", b").

(2) a". [T tawakwoto-**ka** [T pito-no [VP tawakwoto-**ka** ipi-turu]]] b". [T miyako idu to-**ka** [T pito-no [VP miyako idu to-**ka** tuge-turu]]]

In (2a'', b''), the moved constituents with ka are in the domain of T. Ka takes the entire clause in its scope with the moved constituents with ka c-commanding the projections of T, and makes them a question. Thus, in yes-no questions in Old Japanese, ka makes a clause a question by taking the entire clause in its scope, (i) being externally merged with the projection T, and (ii) being internally merged with the projection of T via the movement of a constituent with it.<sup>7)</sup>

If the discussion above is correct, ka should be regarded just as the realization of <Q>-feature, and it can be added to any constituent. Movement takes place if ka cannot take the entire clause in its scope in its base-position, being attached to a constituent of a clause, and if it can, being attached to the clause itself, no movement takes place. This strongly suggests that the formation of a single class of constructions such as questions involve the movement and the base-generation of elements freely, and that a derivation converges if a syntactic object properly interpreted at the interfaces results. This is in line with the Labeling theory under the free applications of Merge proposed in Chomsky (2013, 2014). There is also no need to assume a specific position in the structure as a landing site for a moved element. A moved constituent with ka is likely to be interpreted as a focus since it is raised to the left periphery of the sentence, but it has nothing to do with how the computational system of Old Japanese works to form questions.<sup>8)</sup>

<sup>7)</sup> I assume a subject with ka to be in the domain of T, so ka attached to the subject such as nami-ka (wave-Q) in (4a) takes the clause in its scope in its base-position.

<sup>8)</sup> See Chomsky, Gallego, and Ott (2017) for their warning against inflating the peripheral functional structure to accommodate the discourse-related properties of elements such as focus.

# 4 *Ka* and the formation of yes-no questions in Old Japanese and Modern Japanese

We have seen that in Old Japanese, yes-no questions are legitimate if the relevant clause is in the scope of ka and that ka can be attached to any constituent of the clause including the clause itself. When ka takes the clause in its scope in its base-position, attached to the clause, nothing happens, but when ka, attached to a constituent of the clause, cannot take the clause in its scope in its base-position, the constituent with ka moves for ka to take the clause, which is the projection of T, in its scope.

Thus, based on the discussion above, we should say that yes-no questions are formed in just a single unified way. In all legitimate yes-no questions, ka is attached to a constituent of a clause, and the computational system of Old Japanese works so that ka takes the clause in its scope.

Given that ka makes a clause a question in Old Japanese, it is natural to consider that ka is the realization of <Q>-feature. As the realization of <Q>-feature, ka in Old Japanese seems to be different from the <Q>-feature we see in English. Yes-no questions in English are formed via the movement of an auxiliary.

## (11) [c [c will <Q>] [T John will come]]?

For the yes-no question in (11), it is assumed that <Q>-feature is in C, and that the auxiliary *will* in T moves to C. In (11), <Q> in C is merged with the projection of T, but without the movement of *will*, a legitimate question cannot be formed. One obvious difference between ka and <Q> in (11) is in their phonetic status. Ka has phonetic content, but <Q> in (11) does not. Under the assumption that to form a legitimate question, <Q>-feature needs to have phonetic content, the difference between yes-no questions in Old Japanese and yes-no questions in English in their formation seems to naturally follow. Ka has phonetic content, so a legitimate question is formed by merging ka with the relevant clause so that the clause is in the scope of ka. <Q> in English, in contrast, does

not have phonetic content, so it needs an auxiliary like *will* in (11) to move to it so that it gets phonetically realized.  $^{9,10)}$ 

Indirect yes-no questions in English introduced by *if* are legitimate as they are.

## (12) I wonder $[c \ [c < Q > if] \ [T \ John will come]]$ .

Assuming if to be the realization of <Q>-feature, we can consider that in (12), <Q> is phonetically realized as if, and that the yes-no question in (12) is legitimate simply because the projection of T is in the scope of if. In that it makes the clause a question by just being merged with the clause, if seems to be like ka in Old Japanese. As we know, however, there is a clear difference between ka in Old Japanese and if in English. Ka can be attached to any constituent of a clause, but if can only be merged with the clause. A question arises what underlies this difference between ka in Old Japanese and if in English. One possibility is to consider that <Q>-feature itself is realized as ka in Old Japanese but not as a specific category and that <Q>-feature in English is realized as the category C. If ka is just a feature not a specific category, its position in the structure should not be fixed, and it can be attached to any constituent. <Q>-feature in English, on the other hand, realized as the category C, has to be just in a fixed position in the structure. In this context, notice that in yes-no questions in Modern Japanese, ka has to be in

<sup>9)</sup> Fukui and Sakai (2003) make an interesting claim that functional categories have to be phonetically visible. Given the analysis developed in this paper, Fukui and Sakai's claim should be taken to require features essential for the construction of a clause to be phonetically visible.

<sup>10)</sup> Looking at the formation of yes-no questions in Old Japanese under the Labeling theory developed by Chomsky (2013, 2014), we can say that a question is successfully labeled by the phonetically realized <Q>-feature regardless of the structures of the syntactic object to be labeled. The formation of yes-no questions in Old Japanese discussed in this paper casts doubt on the Labeling Algorithm that requires the presence of matching features in the moved phrase and the phrase hosting the moved phrase for the syntactic object formed by movement to be successfully labeled as questions since questions are successfully formed in Old Japanese if ka attached to the moved constituent takes the entire clause in its scope.

clause-final position, and that *ka* cannot be attached to any constituent other than the clause.

In (13a), ka is attached to the clause Taroo-wa kimasita (Taro came), and a legitimate yes-no question is formed. In (13b), ka is attached to the subject Taroo (Taro) like ka in Old Japanese, but it is not allowed. One possibility to derive the difference between Old Japanese and Modern Japanese in the formation of questions might be to consider that in Old Japanese, ka is just the phonetic realization of Q-feature itself whereas in Modern Japanese, ka is the realization of Q-feature as the category Q. In this respect, Modern Japanese is like English, but since Q has phonetic content both in direct yes-no questions, no movement is necessary.

## 5 A brief discussion of Wh-questions in Old Japanese

In this section, I will briefly discuss Wh-questions in Old Japanese to see what kind of implications the analysis of yes-no questions developed in this paper can have for Wh-questions.

## 5.1 Ka in Wh-questions

In Wh-questions in Old Japanese, ka appears in clause-medial position.

(14) a. ... ta-ga tamoto-wo-ka wa-ga makurakamu who-GEN arm-ACC-Q I-NOM make-pillow
'... Whose arm can I make my pillow?' (Man'yo shu: 439)
b. ... iduku-ni-ka kokoro-no ara-mu where-at-Q soul-NOM be-auxiliary for speculation
'... Where might the soul be?'

(Utsuho monogatari: Toshikage)

In the Wh-question in (14a), ka is attached to the object ta-ga tamoto-wo (whose arm-ACC), and in the Wh-question in (14b), ka is attached to the adjunct iduku-mi (at where). The ka-marked phrases in (14a, b), ta-ga tamoto-wo-ka and iduku-mi-ka precede the subject phrases wa-ga (I-NOM) and kokoro-no (soul-NOM), respectively. Based on the general precedence of a phrase with ka over a subject in Wh-questions in Old Japanese, we can consider that in Wh-questions, a phrase with ka is moved, as in yes-no question. Wh-questions in Old Japanese, however, are not the same as yes-no questions in every respect. In what follows, we will see that ka cannot appear in clause-final position in Wh-questions.

### 5.2 Constituents attached by ka

In Wh-questions, ka can be attached to any constituent, except clauses. (15a, b) below show that ka can be attached to a subject and an object.

- (15) a. ... nani-**ka** sayare-ru
  what-Q do harm-completive auxiliary
  - '... What did harm?' (Man'yo shu: 870)
  - b. . . . ta-ga tamoto-wo**-ka** wa-ga makurakamu who-GEN arm-ACC-Q I-NOM make-pillow
    - "... Whose arm can I make my pillow?" (Man'yo shu: 439)

See Nomura (1993) for the statistical data about Man'yo shu supporting this observation.

<sup>12)</sup> Ikawa (1998), Watanabe (2002), and Aldridge (2015, 2018), based on the fact that the constituent with ka generally precedes the subject, assume that the movement of the constituent with ka is involved in the formation of Wh-questions with ka in sentence-medial position though they do not agree on where the moved phrase lands. Ikawa (1998) argues that a constituent with ka moves to the SPEC of AGRP to stand in an agreement relation with a predicate taking its adnominal/substantive form. Watanabe (2002) claims that the moved constituent with ka lands in the SPEC of CP as a focused element. Aldridge (2015, 2018), assuming FocP inside TP, assumes constituents with ka to move to its SPEC. Again, taking ka as a focus particle is to be faced with the problems presented in 2.1.

Ka is attached to the subject Wh-phrase nani (what) in (15a) and in (15b), ka is attached to the object Wh-phrase ta-ga tamoto-wo (whose arm-ACC). (16a, b) demonstrate that ka can be attached to an adjunct.

(16) a. ... idusi mukite-**ka** imo-ga
which direction looking-Q my lover-NOM
nagek-amu
cry-auxiliary for speculation
Lit. '... Which direction, might my lover be crying to?'
(Man'yo shu: 3474)
b. ... idure-no toki-**ka** wa-ga
which-GEN time-Q I-NOM
kopi-zar-amu

long for-not-auxiliary for speculation
'... When would I stop longing for you?' (Man'yo shu: 2606)

In (16a, b), *ka* is attached to the adjuncts *idusi mukite* (facing which direction) and *idure-no toki* (which time).

Thus, we can say that ka can be attached to any constituent. Furthermore, in all the examples of Wh-questions, the constituents with ka precede the subject. In (15b), ta-ga tamoto-wo-ka (whose arm-Q) precedes the subject wa-ga (I-NOM). In (16a, b), the adjuncts idusi mukite-ka (facing which direction-Q) and idure-no toki-ka (which time-Q) precede the subject imo-ga (my lover-NOM) and wa-ga, respectively. Thus, the constituents with ka in (15b) and (16a, b) can be assumed to have moved.  $^{13}$ 

So far, Wh-questions seem to be similar to yes-no questions. Nevertheless, Wh-questions are different from yes-no questions in one respect. It is that in Wh-questions, *ka* cannot be attached to a clause. As

<sup>13)</sup> As briefly discussed in footnote 5, it is not clear that adjuncts have their base-positions, so it might be possible to consider that the adjuncts with ka in (16a, b) are directly merged into the position they are in. Actually, Aldridge (2015) takes adjuncts with ka in Wh-questions in Old Japanese to be directly merged to somewhere inside FocP under TP.

Konoshima (1966) and others say, in Wh-questions, *ka* cannot be in clause-final position, being attached to the clause. Thus, no examples of Wh-questions like (17) have been attested.

A question naturally arises what underlies this characteristic of Whquestions in Old Japanese.

#### 5.3 The movement of a constituent with ka

In Wh-questions, a constituent with ka seems to move to pre-subject position like a constituent with ka in yes-no questions. This can be taken to show that a constituent with ka in Wh-questions move for the same reason as a constituent with ka moves in yes-no questions. It is for ka to take the entire clause in its scope.

Furthermore, the movement of a constituent with ka in Wh-questions seems to be clause-bound like the movement of a constituent with ka in yes-no questions. No examples of Wh-questions have been attested in which a constituent with ka moves across a clause-boundary. Ikawa (1998) points out the fact that when a Wh-phrase is in a complement clause, ka is attached to the complement clause, and that the complement clause itself moves.

(18) ... [idure-wo sakini kopi-mu to]-ka
which-ACC first love-auxiliary for speculation that Q
mi-si
think-past auxiliary
'... Which did I think that I should love first?'
(Kokin waka shu: 850)

In (18), the Wh-phrase *idure* (which) is inside the complement clause *idure-wo sakini kopi-mu* (which I should love first). Here, *ka* is attached

not to *idure* but to the complement clause, and the complement clause can be assumed to have moved to the position in which ka can take the entire sentence in its scope. We can take this clause-boundness of the movement of a constituent with ka in Wh-questions to show that it targets the domain of T as the movement of a constituent with ka in yes-no questions.<sup>14)</sup>

Thus, we can say that Wh-questions are formed in the same way as yes-no questions are formed in Old Japanese, but we know that they exhibit one clear difference. It is that ka cannot appear in clause-final position in Wh-questions. In the next subsection, I would like to argue that it follows from the status of ka for Wh-phrases and the clause-boundness of the movement of a constituent with ka.

# 5.4 A restriction on the placement of ka in Wh-questions: ka as an essential part of a Wh-phrase

We have seen in 5.3 that the movement of a constituent with ka in Whquestions is clause-bound, and that ka cannot be attached to a clause. Nevertheless, it is not the case that ka cannot be attached to a clause in any case. For instance, as Ikawa (1993, 1998) points out, when a Whphrase is inside an adjunct clause, ka is attached to the adjunct clause containing the Wh-phrase.

(19) [watatumi-no idure-no kami-wo inora-ba]-**ka**God of the sea-GEN which-GEN God-ACC pray conditional-Q
ikusa-mo kusa-mo pune-no
going-also coming-also boat-NOM
payake-mu
be fast-auxiliary for speculation

Lit. 'Which God of the sea will you voyage be over early if I should pray to?'

(Man'yo shu: 1784)

<sup>14)</sup> The subject marked by *ka*, *nani-ka* (what-Q) in (15a) is assumed to be in the domain of T like the subject *nami-ka* in (4a), so *ka* in *nani-ka* can take the clause in its scope in its base-position

In (19), the Wh-phrase *idure-no kami* (which God) is inside the adjunct clause *watatumi-no idure-no kami-wo inora-ba* (if I should pray to which God of the sea), and in this case ka is attached to the adjunct clause itself. An adjunct clause is an island for movement, and it would block the movement of *idure* out of it. In this case, ka is attached to the adjunct clause containing *idure* and the entire adjunct clause with ka is merged into the domain of T for ka to take the entire clause in its scope and make the clause a question.<sup>15)</sup>

There is another reason why *idure* in (19) cannot move out of the adjunct clause. The movement of a constituent with ka is clause-bound, as (18) repeated here shows.

(18) ...[idure-wo sakini kopi-mu to]-**ka**which-ACC first love-auxiliary for speculation that Q
mi-si
think-past auxiliary

"... Which did I think that I should love first?"

(Kokin waka shu: 850)

In (18), ka is attached to the complement clause idure-wo sakini kopi-mu, which is not an island for movement. Given that the movement of a constituent with ka is clause-bound, idure in (18) cannot move out of the complement clause, and here the complement clause is marked by ka and moves. The adjunct clause in (19) is a clause, and the movement of idure, which is clause-bound, should not be able to take place across the adjunct clause, so ka is attached to the adjunct clause. Thus, we can say that ka is attached to a clause if the movement of the constituent with ka cannot take place out of it.  $^{16}$ 

If the discussion above is on the right track, we should consider that ka cannot appear in clause-final position when the movement of the con-

<sup>15)</sup> See also Whitman (2001) for relevant discussion.

<sup>16)</sup> It may be possible to consider that the adjunct clause in (19) is directly merged into a position in which ka can take the entire clause in its scope. See footnote 5 and footnote 13.

stituent with ka can take place. This is confirmed by (14a) repeated below.

(14) a. . . . ta-ga tamoto-wo-**ka** wa-ga makurakamu who-GEN arm-ACC-Q I-NOM make-pillow '. . . Whose arm can I make my pillow?' (Man'yo shu: 439)

In (14a), the movement of *ta-ga tamoto-wo-ka* is possible, and in this case, *ka* cannot be attached to the clause, as in (20).

(20) \*...[ta-ga tamoto-wo wa-ga makurakamu]-ka

The restriction on the placement of ka in Wh-questions seems to be something like (21).

(21) Get *ka* as close to a Wh-phrase as possible as long as the movement of the constituent with *ka* should be possible.

What is behind the restriction in (21)? What I can say at this time is highly speculative, but if we can consider that <Q>-feature is an essential part of a Wh-phrase, it may not be surprising that ka, which can be regarded as the realization of <Q>-feature, has to be as close to the Wh-phrase as possible. Unlike Wh-questions, yes-no questions do not contain any Wh-phrase, so the formation of yes-no questions is not under the restriction in (21). Thus, ka can be attached to a clause in yes-no questions.

## 6 Summary and Conclusion

I have shown that yes-no questions in Old Japanese are formed in just a single unified way: getting the clause in the scope of ka. The typical characteristic of ka is that it can be attached to any constituent of a clause including the clause itself, and that movement takes place when ka cannot take the clause in its scope in its base-position. We have also seen that Wh-questions in Old Japanese are formed in the same way but

under one restriction that *ka* needs to be as close as possible to a Wh-phrase as long as the movement of the Wh-phrase, which is clause-bound, takes place. Thus, we can consider that yes-no questions and Wh-questions are formed in a single unified way in Old Japanese.

To see if the analysis developed in this paper is on the right track, more research is needed on questions in Old Japanese and in other human languages, but it seems to be worth pursuing in that it could lead us to the optimal assumption advocated in Chomsky (2013, 2014) and elaborated by Epstein, Kitahara and Seeley (2017) that the computational system of human language does not distinguish yes-no questions and Wh-questions; they are just questions.

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